



## Questionnaire

### Status of smallholder organizations in Asia and the Pacific

#### Background

This questionnaire is designed to collect information from smallholder associations or similar organizations such as cooperatives and networks that are engaged in the production of forest and agro-forestry products. The smallholder organizations in Asia-Pacific who are partners of Forest and Farm Facility (FFF), Asian Farmers Association (AFA), International Family Forestry Alliance (IFFA), or those working with RECOFTC are asked to take part in this survey.

The information from this questionnaire will be used to improve the understanding of the challenges and opportunities faced by smallholders in the region. The results will be shared in a workshop that is planned for 7-9 December 2016 in Bangkok as well as being disseminated in other channels.

#### Instructions

The questionnaire has three parts. Part A asks information about your organization, Part B is related to your members and Part C requires information about your organization objectives/goals, activities, work environment, challenges and opportunities. In some cases not all questions might be relevant to your organization, however, you are requested to provide answers in as much detail as possible. In case you skip a question, we would appreciate if you provide the reason why you skip it.

Thank you for your participation and support.

On behalf of Forest & Farm Facility (FFF), International Family Forestry Alliance (IFFA), Asian Farmers' Association for Sustainable Rural Development (AFA) and RECOFTC - The Center for People and Forests

Name of organization: \_\_\_\_\_

Name of the person completing the questionnaire: \_\_\_\_\_

Position/relation to the smallholder organization: \_\_\_\_\_

Facilitators' position/relation to the smallholder organization: \_\_\_\_\_

**Part A: Basic information about the organization**

- a. Address of organization:
- b. Year of establishment/formation:
- c. Type of organization:  
 Association       Network       Cooperative       Others, \_\_\_\_\_ (please specify)
- d. Type of memberships of your organization:  
 Individual       Family       Association/groups       Others, \_\_\_\_\_ (please specify)
- e. Number of members [use as appropriate]:  
\_\_\_\_\_ Individual      \_\_\_\_\_ Family      \_\_\_\_\_ Associations/groups
- f. Geographical scope of the organization:  
 Regional (i.e. Asia-Pacific)       National       Provincial       District       Local  
Please provide more detail on scope (i.e. names of countries / provinces etc.)

**Part B: Characteristics of members**

- a. Total land holding area of all the members of your organization \_\_\_\_\_ ha, how much of which is forest? \_\_\_\_\_ ha
- b. Average size of individual member holding: \_\_\_\_\_ ha, what area of this is forest? \_\_\_\_\_ ha
- c. Type of ownership of members over resource (land) (more than one can be selected):  
 Private       Communal       Tenancy       Other: Please provide detail \_\_\_\_\_
- d. Members' rights over resource [choose multiple options as appropriate]:
  - Access (right to enter the resource)
  - Withdrawal (right to harvest resource products)
  - Management (right to manipulate the resource according to resource management objectives)
  - Exclusion (right to exclude others non-users from the resource)
  - Alienation (right to sell, lease out or use resource as collateral)
- e. What are the products produced by members:
  - Forest products (please provide examples): \_\_\_\_\_
  - Animal products (please provide examples): \_\_\_\_\_
  - Agricultural products (please provide examples): \_\_\_\_\_
  - Agroforestry products (please provide examples): \_\_\_\_\_
  - Aquaculture products (please provide examples): \_\_\_\_\_

f. If you do not produce forest/tree products, how are forest/tree products important for your other products or private use?

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g. Do the members sell products to the market? Yes No, if Yes, what share of the products are sent to the market? ..... % of total production

h. If value addition takes place, what is the value added process? \_\_\_\_\_

**Part C: Work of smallholder organization and working environment**

1. Are the tenure rights granted to/held by your members sufficient for them to achieve their objective/goal(s)?

Yes No, Please provide examples of the rights and the impacts they have, as well as any changes needed (including for scaling up the impacts):

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2. Does the government policy favour the achievement of development of smallholders?

Yes No, Please provide examples of the policies and the impacts they have, as well as any changes needed (including for scaling up the impacts):

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3. Do your members have sufficient resources or assets (such as land, capital) and technology to achieve their objectives?

Yes No, Please provide examples of scarce resources and what is creating this scarcity and what changes are needed?

Scarce resource: \_\_\_\_\_

Reason for the scarcity: \_\_\_\_\_

Suggested changes: \_\_\_\_\_

4. What are the main challenges for your members to sell their products? [Please consider access to market, quality of products, price setting, etc.] \_\_\_\_\_

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5. Do your members have sufficient access to capacity building opportunities? [Please consider capacities required for: production, processing, marketing of the products and ability to effectively work with other partners and government]

Yes No, if your answer is No, please mention which particular area has that gap and what changes can be made.

Please comment as needed:

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6. What challenges and/or threats do your members face? [For example, effect of climate change, poverty]

S.N.	Challenge/threat	The reason why is this a challenge/threat?
1.		
2.		
3.		
4.		
5.		

7. What are the key objectives or aims of your smallholder organization?

S.N.	Objectives/aims
1.	
2.	
3.	

S.N.	Objectives/aims
4.	
5.	

8. What are the major activities of your smallholder organization in the last five years?

S.N.	Activities
1.	
2.	
3.	
4.	
5.	

9. What are main achievements of your smallholder organization in last five years?

S.N.	Achievements
1.	
2.	
3.	
4.	
5.	

10. Do you have any partnerships with any other organizations to achieve your objectives?  Yes  No  
If yes, please list the name of partners if you have any and specify what is the objective of partnership.

S. N.	Name of partner	Objective/nature of partnership (such as for technical, financial, capacity building)
1.		
2.		

S. N.	Name of partner	Objective/nature of partnership (such as for technical, financial, capacity building)
3.		
4.		
5.		

11. What approaches/strategies has your smallholder organization been following to achieve those objectives/aims?

S.N.	Approaches/strategies
1.	
2.	
3.	
4.	
5.	

12. What are the strengths of your smallholder organization in achieving those objectives? [Please consider your capacity, such as: knowledge, network, access to resource and technology, partnerships, etc.]

S.N.	Strengths	Why is that strength?
1.		
2.		
3.		
4.		
5.		

13. On a scale from 1 (Unfavourable) to 5 (Very favourable) what score do you give to the following components is helping your organization in achieving its objectives?

S.N.	Component	Your score [Between 1 and 5]
1	Rights and tenure	
2	Policy	
3	Governance	
4	Access to resource	
5	Access to market	
6	Access to capacity building opportunities	

14. What challenges and/or threats do you face to support smallholders? [For example, effect of climate change, poverty]

S.N.	Challenge/threat	The reason why is this a challenge/threat?
1.		
2.		
3.		
4.		
5.		

15. What opportunities do you see in supporting smallholders? [Please consider amongst Sustainable Development Goals, Paris Agreement and other initiatives such as REDD+, FLEGT-VPA]

S.N.	Opportunity	Why is that an opportunity?
1.		
2.		
3.		
4.		
5.		

16. Please provide a brief paragraph that best describes your organization.

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*Thank you for your participation.*

*On behalf of FFF, IFFA, AFA and RECOFTC*